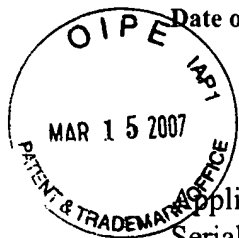


Express Mail No. EV 538966644 US

Date of Deposit: March 15, 2007

Attorney Docket No. 24309-501



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Mark Hoffman *et al.*  
Serial No.: 09/696,762  
Filed: October 25, 2000  
For: INVESTMENT ADVICE SYSTEMS AND METHODS

Examiner: Nguyen, Nga B.  
Art Unit: 3692

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Mail Stop ISSUE FEE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**TRANSMITTAL LETTER**

Transmitted herewith for filing in the present application are the following documents:

- ☒ Response to Notice of Allowance (1 page);
- ☒ Form PTOL-85 (1 page, in duplicate);
- ☒ Check #23944 in the amount of \$730.00 (in payment of the issue fee (\$700.00) and the advanced order of ten (10) copies (\$30.00)); and
- ☒ Return Postcard.

If the enclosed papers are considered incomplete, the Mail Room is respectfully requested to contact the undersigned collect at (617) 542-6000, Boston, Massachusetts. The Commissioner is authorized to credit any overpayment or charge any deficiencies to Deposit Account No. 50-0311, Reference No. 24309-501. A duplicate copy of this Transmittal Letter is enclosed.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "T.J. Clark", written over a horizontal line.

T.J. Clark, Registration No. 54,039

Attorneys for Applicants  
Mintz, Levin, Cohn, Ferris,  
Glovsky and Popeo, P.C.

**Customer Number 30623**

Telephone 617/348-1765

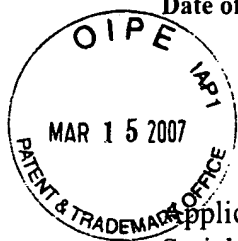
Facsimile 617/ 542-2241

Dated: March 15, 2007

Express Mail No. EV 538966644 US

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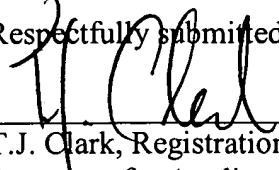
Mail Stop ISSUE FEE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**RESPONSE TO NOTICE OF ALLOWANCE AND FEE(S) DUE**

The present paper is filed in response to the Notice of Allowance and Issue Fee Due, dated March 8, 2007, for the present application. This Response is due on or before June 8, 2007. A check (#23944) for \$730.00 is enclosed to cover the issue fee (\$700.00) and the advanced order of ten (10) copies (\$30.00). A copy of Form PTOL-85B is also enclosed herewith.

The Commissioner is hereby authorized to charge any additional fees that may be due, or to credit any overpayment, to Account 50-0311, Ref. No. 24309-501.

Respectfully submitted,

  
\_\_\_\_\_  
T.J. Clark, Registration No. 54,039  
Attorneys for Applicants  
Mintz, Levin, Cohn, Ferris,  
Glovsky and Popeo, P.C.  
**Customer Number 30623**  
Telephone 617/348-1765  
Facsimile 617/ 542-2241

Dated: March 15, 2007